



PATENT APPLICATION
Docket No. 4591-352

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chang-Hyun Lee, et al. Conf. No. 4410
Serial No.: 10/632,496 Art Unit: 2811
Filed: July 31, 2003 Examiner: not yet assigned
For: NON-VOLATILE MEMORY DEVICE HAVING FLOATING
TRAP TYPE MEMORY CELL AND METHOD OF FORMING
THE SAME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT
(37 CFR 1.97(e))**

I, Alan T. McCollom, the person signing below state that:

Each item of information contained in the Information Disclosure Statement submitted herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement

Applicant respectfully requests consideration of the accompanying Information Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Alan T. McCollom
Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
Date: March 16, 2004

Adrienne Chocholak



PATENT APPLICATION
DOCKET NO. 4591-352

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REPLICATION OF: CHANG-HYUN LEE, ET AL. CONF. No. 4410
SERIAL No.: 10/632,496 ART UNIT: 2811
FILED: JULY 31, 2003 EXAMINER: NOT YET ASSIGNED
FOR: NON-VOLATILE MEMORY DEVICE HAVING FLOATING TRAP
TYPE MEMORY CELL AND METHOD OF FORMING THE
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COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450 Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☐ a \$180 fee under 37 CFR 1.17 (p); or

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
may be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

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**INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)**

**INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)**

U.S. PATENT DOCUMENTS

Exam <u>Init</u>	<u>Ref</u>	Document <u>Number</u>	Issue <u>Date</u>	<u>Name</u>	<u>Class</u>	Sub <u>Class</u>
_____	_____	6,087,211	7/11/2000	Kalnitsky, et al.		
_____	_____	US 6,204,159 B1	3/20/2001	Chang, et al.		
_____	_____	US 6,197,639 B1	3/6/2001	Lee, at al.		
_____	_____	6,023,085	2/8/2000	Fang		
_____	_____	5,751,037	5/12/1998	Aozasa, et al.		
_____	_____	5,663,084	9/2/1997	Yi, et al.		
_____	_____	6,130,168	10/10/200	Chu, et al.		
_____	_____	6,074,915	6/13/2000	Chen, et al.		

FOREIGN PATENT DOCUMENTS

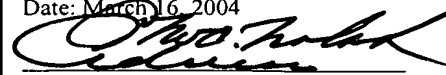
Exam <u>Init</u>	<u>Ref</u>	Document <u>Number</u>	Publication <u>Date</u>	<u>Country</u>	<u>Name</u>
_____	_____	EP 1 014 441 A1	6/28/2000	Europe	
_____	_____	WO 00/35005	6/15/2000	International	

Examiner: _____

Date Considered: _____

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